



PATENT
Docket No. 226272003802

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Dated: 11/26/03

Signature: 

(Jim C. Yang)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Andrew L. FELDHAUS

Serial No.: 09/909,363

Filing Date: July 19, 2001

For: TRANSCRIPTIONALLY-ACTIVATED
AAV INVERTED TERMINAL
REPEATS (ITRS) FOR USE WITH
RECOMBINANT AAV VECTORS

Examiner: M. Mosher

Group Art Unit: 1648

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of ___ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of ___ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Docket No. 252312003802
Serial No. 09/909,363

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 226272003802. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 26, 2003

Respectfully submitted,

By: Catherine M. Polizzi
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Registration No. 40,130

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Form PTO-1449

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Application Number 09/909,363

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

Andrew L. FELDHAUS

Filing Date July 19, 2001

Group Art Unit 1648

Mailing Date November 26, 2003

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	07/05/2001	2001/0006955	Wilson et al.			
	2.	01/03/2002	2002/0001581	Lynch et al.			
	3.	03/14/2002	2002/0032163	Wilson et al.			
	4.	03/28/2002	2002/0037867	Wilson et al.			
	5.	06/27/2002	2002/0081297	Wilson et al.			
	6.	10/31/2002	2002/0159979	Johnson			
	7.	12/05/2002	2002/0182182	Wilson et al.			
	8.	02/27/2003	2003/0040101	Wilosn et al.			
	9.	04/17/2003	2003/0073232	Wilson et al.			
	10.	05/01/2003	2003/0082145	Johnson			
	11.	08/18/1992	5,139,941	Muzyczka et al.			
	12.	07/29/1997	5,652,224	Wilson et al.			
	13.	08/19/1997	5,658,785	Johnson			
	14.	03/24/1998	5,731,182	Boyce			
	15.	05/26/1998	5,756,283	Wilson et al.			
	16.	07/28/1998	5,786,211	Johnson			
	17.	01/05/1999	5,856,152	Wilson et al.			
	18.	01/12/1999	5,858,775	Johnson			
	19.	02/02/1999	5,866,552	Wilson et al.			
	20.	02/16/1999	5,871,982	Wilson et al.			
	21.	02/16/1999	5,871,986	Boyce			
	22.	02/16/1999	5,872,154	Wilson et al.			
	23.	12/14/1999	6,001,557	Wilson et al.			
	24.	01/16/2001	6,174,527	Wilson et al.			
	25.	02/06/2001	6,183,993	Boyce et al.			
	26.	02/20/2001	6,190,887	Boyce et al.			
	27.	03/20/2001	6,203,975	Wilson et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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28.	04/03/2001	6,211,160	Wilson et al.			
29.	05/29/2001	6,238,914	Boyce			
30.	06/26/2001	6,251,957	Wilson et al.			
31.	06/26/2001	6,251,677	Wilson et al.			
32.	07/17/2001	6,261,551	Wilson et al.			
33.	08/07/2001	6,270,996	Wilson et al.			
34.	08/14/2001	6,274,354	Wilson et al.			
35.	08/28/2001	6,281,009	Boyce			
36.	08/28/2001	6,281,010	Gao et al.			
37.	01/15/2002	6,338,962	Boyce			
38.	01/15/2002	6,338,953	Boyce et al.			
39.	04/16/2002	6,372,208	Wilson et al.			
40.	05/14/2002	6,387,368	Wilson et al.			
41.	06/04/2002	6,399,370	Wilson et al.			
42.	08/06/2002	6,428,988	Wilson et al.			
43.	11/05/2002	6,475,769	Wilson et al.			
44.	11/19/2002	6,482,634	Wilson et al.			
45.	04/15/2003	6,548,286	Samulski et al.			
46.	05/20/2003	6,566,118	Atkinson et al.			
47.	08/26/2003	6,610,290	Podsakoff et al.			
48.	10/14/2003	6,632,670	Wadsworth et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
	49.	12/21/1995	WO 95/34670	WIPO				
	50.	03/28/1996	WO 96/09074	WIPO				
	51.	05/09/1996	WO 96/13597	WIPO				
	52.	05/09/1996	WO 96/13598	WIPO				
	53.	08/29/1996	WO 96/26285	WIPO				

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54.	08/29/1996	WO 96/26286	WIPO				
55.	12/12/1996	WO 96/39530	WIPO				
56.	09/12/1997	WO 97/32990	WIPO				
57.	02/26/1998	WO 98/07833	WIPO				
58.	03/12/1998	WO 98/09657	WIPO				
59.	03/12/1998	WO 98/10088	WIPO				
60.	03/12/1998	WO 98/10086	WIPO				
61.	03/12/1998	WO 98/09656	WIPO				
62.	03/19/1998	WO 98/11243	WIPO				
63.	03/25/1999	WO 99/14351	WIPO				
64.	04/01/1999	WO 99/15677	WIPO				
65.	04/01/1999	WO 99/15685	WIPO				
66.	12/21/2000	WO 00/77233	WIPO				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

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